

Aleksandrs Surkovs

Date of Birth 24/10/1975

Education Level University Degree

Professional summary

Accomplished compliance officer with over six years of expertise in Anti-money laundering - AML, Combating the financing of terrorism - CFT, corporate law, and financial compliance. Adept at developing and implementing policies to ensure regulatory adherence while fostering organizational integrity and growth. Skilled in corporate governance, contract review, and risk management. Experienced in navigating complex legal and financial frameworks, aligning corporate operations with evolving regulations, and maintaining robust compliance systems, including adherence to NOIS/NORUT standards.

Professional experience

Senior compliance officer

Breakout Group B.V.

May 2023 – Present (outsourcing)

- Manage customer transactions to identify and report suspicious activities, ensuring compliance with AML and CTF regulations.
- Provide legal guidance on corporate policies to ensure compliance with statutory obligations and operational governance.
- Draft, update, and implement AML/CTF and corporate compliance frameworks tailored to regulatory needs.
- Conduct regular risk assessments, identifying vulnerabilities and recommending controls to mitigate exposures.
- Collaborate with regulators, auditors, and external stakeholders to meet reporting and audit requirements.
- Review and draft contracts to safeguard company interests and ensure adherence to corporate legal standards.
- Lead internal reviews of compliance controls and deliver staff training on best practices.
- Analyze data from Sumsub and other systems to identify compliance risks, generating detailed reports for senior management and regulators.

Compliance Analyst

Breakout Group B.V.

June 2018 – May 2023

- Conducted detailed analyses of customer operations to prevent and detect suspicious financial activities.
- Performed comprehensive reviews of client activities to detect potential risks.
- Prepared, analyzed, and documented legal and financial reports for regulatory review.
- Drafted reasoned opinions on high-risk customers and presented findings.
- Collaborated across departments to ensure seamless compliance with AML policies and banking regulations.

Previous Positions

Company	Position	Dates
SIA "SEME"	Head of Department	February 2007 – November 2010

Company	Position	Dates
SIA "SEME"	Manager	December 2010 – May 2013
SIA "Dzintaru dzīve"	Manager	July 2018 – October 2018
SIA "EDS Techno"	Head of Procurement	February 2022 – Present
SIA "RSEI"	Financial Director	May 1997 – October 2001
Baltic Information Academy	Analyst	January 1995 – December 1995
SIA "APA"	Manager	February 1994 – December 1994

Education

Higher Education

Moscow State Radio Technical, Electronic, and Automatic Institute (Riga branch)
Computer Technologies
Period of Studies: February 2000 – May 2000

Moscow State Industrial University (Riga branch)
Master's Degree (Engineer-Economist)
Engineering Business Economics and Management
Period of Studies: September 1997 – May 1998

United Kingdom University, Intercollege (Cyprus branch)
Incomplete Higher Education
Enterprise and Tourism Management
Period of Studies: September 1996 – May 1998

Moscow State Industrial University (Riga branch)
Bachelor's Degree
Economics
Period of Studies: September 1995 – May 1996

International Commercial University (Latvia)
Degree: Bachelor's Degree
Major: World Economics
Period of Studies: September 1993 – June 1995

Professional Development

- Deep understanding of EU AML/CTF regulations, MiCA, and GDPR.
- Expertise in cryptocurrency markets and blockchain technology.
- Skilled in assessing transaction data and identifying suspicious patterns.
- Proficient with AML tools, blockchain analytics platforms (e.g., Chainalysis, Elliptic), and transaction monitoring systems.
- Familiar with EU and OFAC sanctions lists.
- Knowledgeable about Curaçao AML Regulations (NOIS/NORUT).

Languages

Language	Speaking	Writing	Understanding
English	Very Good	Excellent	Excellent
Russian	Excellent	Excellent	Excellent
Latvian	Good	Very Good	Excellent